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**OMRI M. BEHR  
325 PIERSON AVENUE  
EDISON, NJ 08837**

**NOTICE OF ALLOWANCE  
AND ISSUE FEE DUE**

- ☐ Note attached communication from the Examiner  
☐ This notice is issued in view of applicant's communication filed \_\_\_\_\_

SERIES CODE/SERIAL NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
07/533,294	06/05/90	007	NUTTER, N 1503	11/02/92
First Named Applicant <b>SOMMERMEYER, KLAUS</b>				

TITLE OF INVENTION  
**HYDROXYLETHYLSTARCH (HES) AS PLASMA EXPANDER AND PROCESS FOR PREPARING HES (AS AMENDED)**

	ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
1	LUDR3.0-036	536-111.000	H61	UTILITY	NO	\$1170.00	02/02/93

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.**

**THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.**

**HOW TO RESPOND TO THIS NOTICE:**

**I. Review the SMALL ENTITY Status shown above.**

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the Status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or  
B. If the Status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay FEE DUE shown above, or  
B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.

- II. Part B of this notice should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by a charge to deposit account, Part B should be completed and returned. If you are charging the ISSUE FEE to your deposit account, Part C of this notice should also be completed and returned.
- III. All communications regarding this application must give series code (or filing date), serial number and batch number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees.**

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Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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07/533,294 06/05/90 SOMMERMEYER

EXAMINER
K LUDR3.0-036

ATTORNEY UNIT	PAPER NUMBER
NUTTER, W	

OMRI M. BEHR  
325 PIERSON AVENUE  
EDISON, NJ 08837

DATE MAILED:  
1503

11/02/92

#### NOTICE OF ALLOWABILITY

##### PART I.

- ☒ This communication is responsive to 19 October 1992
- ☒ All the claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice Of Allowance And Issue Fee Due or other appropriate communication will be sent in due course.
- ☒ The allowed claims are 1-3 and 8-11
- ☐ The drawings filed on \_\_\_\_\_ are acceptable.
- ☒ Acknowledgment is made of the claim for priority under 35 U.S.C. 119. The certified copy has ☒ been received. [...] not been received. [...] been filed in parent application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- ☐ Note the attached Examiner's Amendment.
- ☐ Note the attached Examiner Interview Summary Record, PTOL-413.
- ☐ Note the attached Examiner's Statement of Reasons for Allowance.
- ☐ Note the attached NOTICE OF REFERENCES CITED, PTO-892.
- ☐ Note the attached INFORMATION DISCLOSURE CITATION, PTO-1449.

##### PART II.

A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" indicated on this form. Failure to timely comply will result in the ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
- ☐ APPLICANT MUST MAKE THE DRAWING CHANGES INDICATED BELOW IN THE MANNER SET FORTH ON THE REVERSE SIDE OF THIS PAPER.
  - ☐ Drawing informalities are indicated on the NOTICE RE PATENT DRAWINGS, PTO-948, attached hereto or to Paper No. \_\_\_\_\_. CORRECTION IS REQUIRED.
  - ☐ The proposed drawing correction filed on \_\_\_\_\_ has been approved by the examiner. CORRECTION IS REQUIRED.
  - ☐ Approved drawing corrections are described by the examiner in the attached EXAMINER'S AMENDMENT. CORRECTION IS REQUIRED.
  - ☐ Formal drawings are now REQUIRED.

Any response to this letter should include in the upper right hand corner, the following information from the NOTICE OF ALLOWANCE AND ISSUE FEE DUE: ISSUE BATCH NUMBER, DATE OF THE NOTICE OF ALLOWANCE, AND SERIAL NUMBER.

##### Attachments:

- Examiner's Amendment  
- Examiner Interview Summary Record, PTOL-413  
- Reasons for Allowance  
- Notice of References Cited, PTO-892  
- Information Disclosure Citation, PTO-1449

- Notice of Informal Application, PTO-152  
- Notice re Patent Drawings, PTO-948  
- Listing of Bonded Draftsmen  
- Other

Serial Number: 533,294

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Art Unit: 1503

The following is an Examiner's Statement of Reasons for Allowance: It is conceded that the reference to Nitsch et al fails to teach the specific molar substitution and C<sub>2</sub> to C<sub>6</sub> ratio. The Great Britain patent to Morishita Pharmaceutical co. fails to overcome this shortcoming since the ratio of 0.5-2.0 is not equivalent to a ratio of 5 to 20 for C<sub>2</sub> to C<sub>6</sub> substitution, but rather at page 2 (lines 1-7) is directed to 0.5 substitutions at C<sub>2</sub> to 1 substitution at C<sub>6</sub> of the glucose ring. Thus, the secondary reference does not cure the deficiencies of the primary reference and, as such the rejection of the claims over Nitsch et al taken with Staley (G.B. 935,339) and Morishita (GB 1 395 777) is untenable and is hereby expressly withdrawn.

No other prior art has been found which would teach or suggest the claimed invention, and, thus, the claims are deemed to be allowable.

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Art Unit: 1503

Any comments considered necessary by applicant must be submitted no later than the payment of the Issue Fee and, to avoid processing delays, should preferably **accompany** the Issue Fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

A handwritten signature in cursive script, reading "Nathan M. Nutter".

N.M. Nutter:jaw  
(703) 308-2351  
10/30/92

NATHAN M. NUTTER  
PATENT EXAMINER  
ART UNIT 153